April 24, 2002

PTO/SB/21 (08-00)

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TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

10/029,377 **Application Number** December 19, 2001 **Filing Date First Named Inventor** Antonio Sanfilippo Group Art Unit 2176 **Examiner Name** Unassigned Attorney Docket Number 019497-002910US

ENCLOSURES (check all that apply)					
Fee Transmittal Form		Assignment Papers (for an Application)		After Allowance Communication to Group	
Fee Attached		☐ Drawing(s)		Appeal Communication to Board of Appeals and Interferences	
Amendment / Response		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final		Petition Routing and Accompany	Slip (PTO/SB/69) ving Petition	Proprietary Information	
Affidavits/dec	laration(s)	Petition to Conv Provisional App		Status Letter	
Extension of Time	e Request	Power of Attorne	ey, Revocation espondence Address	Other Enclosure(s) (please identify below):	
Express Abandonment Request		☐ Terminal Disclaimer ☐ Request for Refund		Return Postcard Copies of twenty-seven (27) cited references	
Information Disclosure Statement		CD, Number of CD(s)			
Certified Copy of Priority Document(s)		Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. RECEIVED		
Response to Miss Incomplete Applic			•	MAY 0 1 2002	
Response to Parts under 3 1.52 or 1.53		Technology Center 2100			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm Townsend and Townsend and Crew LLP					
and Individual name	Patrick M: Boucher Reg. No. 44,037				
Signature	Patel De				
Date	April 24, 2002	**			
CERTIFICATE OF MAILING					

April 24, 2002 Date Signature Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Attorney Docket No.: 019497-002910US

ENT #



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TOWNSEND and TOWNSEND and CREW LLP

By: Dina L. Mungill

pril 24, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Antonio Sanfilippo

Application No.: 10/029,377

Filed: December 19, 2001

For: NATURAL LANGUAGE METHOD AND SYSTEM FOR MATCHING AND RANKING DOCUMENTS IN TERMS OF SEMANTIC RELATEDNESS

Assistant Commissioner for Patents Washington, D.C. 20231

Examiner: Una

Unassigned

Art Unit:

2176

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and

§1.98

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Technology Center 2100

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Antonio Sanfilippo

Application No.: 10/029,377

Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Patrick M. Boucher Reg. No. 44,037

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel: 303-571-4000

Fax: 303-571-4321

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Complete if Known **Application Number** 10/029,377 Filing Date December 19, 2001 **First Named Inventor** Antonio Sanfilippo Art Unit 2176 **Examiner Name** Unassigned

(use as many sheets as necessary)

019497-002910US of Attorney Docket Number Sheet

	U.S. PATENT DOCUMENTS						
		Document Number					
Examiner Cite No.1		Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	AA	US-5,243,520	9/7/93	Jacobs et al.			
	AB	US-5,794,050	8/11/98	Dahlgren et al.			
	AC	US-5,799,268	8/25/98	Boguraev			
	AD	US-5,895,464	4/20/99	Bhandari et al.			
	AE	US 5,963,940	10/5/99	Liddy et al.			
	AF	US-6,154,213	11/28/00	Rennison et al.			
	AG	US-6,175,828	1/16/01	Kuromusha et al.	RECEIV-		
	AH	US-6,182,062	1/30/01	Fujisawa et al.	I I Lan O Land O Boxa Land		
	Al	US-6,212,494	4/3/01	Boguraev	MAY 0-1 2002		
	AJ	US-6,233,547	5/15/01	Denber ·	WAT 0-1 2002		
	AK	US-6,272,495	8/7/01	Hetherington	T		
	AL	US-6,278,996	8/21/01	Richardson et al.	Technology Center 2100		
*	AM	US-6,292,771	9/18/01	Haug et al.			

	FOREIGN PATENT DOCUMENTS							
		Foreign Patent Document				Pages, Columns,		
Examiner Initials*	Cite No. ¹	Country Code ³	Number ⁴	Kind Code ⁵ (If known)	Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Lines, Where Relevant Passages or Relevant Figures Appear	T 6
	AN	EP	0 386 825		12/9/90	BSO/BURO VOOR SYSTEEMONTWIKKELING B.V.		
	AO	WO	97/08604		6/3/97	Syracuse University		

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	AP	ANICK, PETER, et al., "An Application of Lexical Semantics to Knowledge Acquisition from Corpora," Proceedings of 13 th International Conference on Computational Linguistics, Helsinki, Finland, pp. 7-12, 1990.	
	AQ	GRISHMAN, RALPH, et al., "Acquisition of Selectional Patterns," Proceedings of the 14 th International Conference on Computational Linguistics, (COLING-92), Nantes, France, pp. 658-664, 1992.	

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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			FOREIGN PA	ATENT DOCUM	MENTS				
Examiner Initials*	Cite No.1	Foreign Patent Doo Number ⁴	Cument Kind Code ⁵ (<i>If</i> known)	Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	AR	JACOBS, PAUL S., et al., "Th 221, September 19-23, 1993.		Project," Proceeding	s of the Tipster Phase I Final Meeting, p	pp. 209-			
	AS	LI LI et al., "Parsing Unificatio	LI LI et al., "Parsing Unification Categorial Grammar with Object-Oriented Knowledge," IEEE 1994, pp. 33-39.						
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	AU	LIDDY, ELIZABETH D., et al., DrLink System: Phase I Summary," Proceedings of the Tripster Text Program Phase I Workshop, April 1994, pp. 93-110.							
	AV	PUSTEJOVSKY, JAMES, "Tr 409-441, 1991.	ne Generative Lexic	on," Association of	Computational Linguistics, Vol. 17, No.	4, pp.			
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-	AZ	TUTTLE, HOWARD, et al., "E Information Systems, Vol. 9, I			sed Retrieval Model," ACM Transactions	s on			
	ВА	WEISCHEDEL, RALPH, et al Message Understanding Con	., "BBN: Description ference, pp. 93-107	n of the PLUM Syste 7, August 25-27, 19	em as Used for MUC-5," Proceedings of 93.	f the 5 th			

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